



PATENT  
0717-0429P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Hidenori KAWANISHI et al. Conf.: 9857  
Appl. No.: 09/466,174 Group: 2828  
Filed: December 17, 1999 Examiner: J.A. MENEFEE  
For: SEMICONDUCTOR LASER DEVICE WITH SPOT-  
SIZE CONVERTER AND METHOD FOR  
FABRICATING THE SAME

TERMINAL DISCLAIMER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 13, 2004

Sir:

SHARP KABUSHIKI KAISHA, (hereinafter "the Assignee")

☐ residing at ,  
☒ a corporation of Japan having a principal place of  
business at 22-22, Nagaikecho, Abeno-ku, Osaka  
545-8522, JAPAN,

☐ a university having an address of ,  
represents that it is the true owner of the entire interest of  
U.S. patent Application No. 09/466,174, filed on December 17,  
1999, for "SEMICONDUCTOR LASER DEVICE WITH SPOT-SIZE CONVERTER  
AND METHOD FOR FABRICATING THE SAME," (hereinafter "above-  
identified application") by virtue of and as evidenced by an  
Assignment recorded at the United States Patent and Trademark  
Office at Reel 010613, Frame(s) 0124-0126.

The Assignee hereby disclaims the terminal part of any  
patent granted on the above-identified application which would

extend beyond the expiration date of the full statutory term as presently shortened by any terminal disclaimer of U.S. Patent 6,597,823, and hereby agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to U.S. Patent 6,597,823 shall be the same as the legal title to any patent issuing from the above-identified application, this agreement to run with any patent granted on the above-identified application, and to be binding upon the grantee, its successors or assigns.

The Assignee does not disclaim any terminal part of any patent granted on the above-identified application prior to the expiration date of the full statutory term as presently shortened by any terminal disclaimer of U.S. Patent 6,597,823 in the event that it later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321(a), has all claims canceled by a reexamination certificate, or is otherwise terminated prior to the expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

This Terminal Disclaimer is submitted on behalf of the Assignee by the undersigned, an attorney of record in the above-identified application.

Appl. No. 09/466,174

Please charge any fees or credit any overpayment pursuant to  
37 C.F.R. § 1.20 to Deposit Account No. 02-2448.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

Date: January 13, 2004

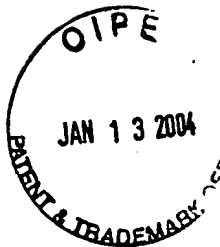
By  Terrell C. Birch, #19,382 

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0717-0429P

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Attachment(s)

(Rev. 09/30/03)



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extend beyond the expiration date of the full statutory term as presently shortened by any terminal disclaimer of U.S. Patent 6,480,640, and hereby agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to U.S. Patent 6,480,640 shall be the same as the legal title to any patent issuing from the above-identified application, this agreement to run with any patent granted on the above-identified application, and to be binding upon the grantee, its successors or assigns.

The Assignee does not disclaim any terminal part of any patent granted on the above-identified application prior to the expiration date of the full statutory term as presently shortened by any terminal disclaimer of U.S. Patent 6,480,640 in the event that it later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321(a), has all claims canceled by a reexamination certificate, or is otherwise terminated prior to the expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

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